



2632 \$

**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: )

**STEVE D. SINGLETON** )

Serial No: **10/079,920** )

Art Unit: **2632**

Filed: **February 20, 2002** )

Examiner: **Tong, Nina C.**

For: **ASSET MANAGEMENT SYSTEM**

**THIRD INFORMATION DISCLOSURE STATEMENT**

**RECEIVED**

**JUL 19 2004**

**Technology Center 2600**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant hereby voluntarily discloses the items listed on the attached Form PTO-1449 to the Commissioner for Patents. Pursuant to 37 CFR 1.98(a)(2)(i), copies of the items are not enclosed.

Applicant further reserves the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as references, and/or to prove that some of these documents may not be prior art, and/or to prove that some of these documents may not be enabling for the teachings they purport to offer. This statement further should not be construed as the representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present Application. The Examiner is specifically requested not to rely solely on the materials submitted herein. On the contrary, the Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

07/16/2004 EHAILE1 00000014 090528 10079920

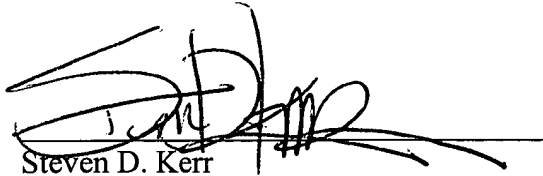
01 FC:1806 180.00 DA

In accordance with 37 CFR 1.97(c) and 1.17(p), the Commissioner is hereby authorized to charge the late filing fee of \$180.00 to Deposit Account No. 09-0528.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 and to indicate in the official file wrapper of the above-identified patent application that the items have been considered.

Date:

July 9, 2004



Steven D. Kerr  
Attorney for Applicant  
Reg#32,472

File: **K047 1180.1**



2632

# CERTIFICATE OF MAILING

I hereby certify that this correspondence is being mailed in an envelope by First Class mail to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED

JUL 19 2004

Technology Center 2600

on 7-12-04

Suzanne Skinner

(Typed or printed name of person mailing correspondence)

Suzanne Skinner

(Signature of person mailing correspondence)

Serial Number: 10/079,920

Filing Date: February 20, 2002

Title: ASSET MANAGEMENT SYSTEM

Examiner: Tong, Nina C.

Art Unit: 2632

Our Reference Number: K047 1180.1

Third Information Disclosure Statement

Form PTO-1449

Late Filing Fee - \$180.00 - To be charged to Deposit Account No. 09-0528

Return Postcard